

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s): Jens-Uwe Schneider			DE920010052US1
Serial No. 09/881,346	Filing Date 06/14/01	Examiner Harish T. Dass	Group Art Unit 3628
Invention: COMPUTERIZED METHOD AND SYSTEM FOR SCORE BASED EVALUATION OF CAPITAL MARKET INVESTMENT DECISIONS AND STRATEGIES			
<div style="text-align: right;">RECEIVED CENTRAL FAX CENTER MAR 30 2006</div> <p>I hereby certify that this <u>POA & Corres. Addr. Indication Form, Stmt. Under 37 CFR 3.73(b) & Cert of Facsimile</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>) on <u>3/30/06</u> (Date)</p> <div style="text-align: center;"><p><u>June M. Mitchell</u> (Typed or Printed Name of Person Signing Certificate)</p><p><u>June M. Mitchell</u> (Signature)</p></div> <p>Note: Each paper must have its own certificate of mailing.</p>			

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INDICATION FORM

Application Number	09/881,346
Filing Date	06/14/01
First Named Inventor	Jens-Uwe Schneider
Title	Computerized Method and System..
Art Unit	3628
Examiner Name	Harish T. Dasg
Attorney Docket Number	DE920010052US1

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>David L. Adour</i>	Date	MARCH 30, 2006
Name	David L. Adour, Reg. No. 29,604	Telephone	607-429-5284
Title and Company	Counsel, IBM Corporation		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Jens-Uwe SchneiderApplication No./Patent No./Control No.: 09/881,346 Filed/Issue Date: 06/14/01Entitled: **COMPUTERIZED METHOD AND SYSTEM FOR SCORE BASED EVALUATION OF CAPITAL
MARKET INVESTMENT DECISIONS AND STRATEGIES**International Business Machines Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at 012094, Frame 0598, or a true copy of the original is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

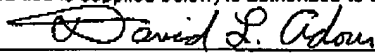
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
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- ☐ Additional documents in the chain of title are listed on a supplemental

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

David L. Adour, Reg. No. 29,604

Printed or Typed Name

Counsel

Title

MARCH 30, 2006

Date

607-429-5284

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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